

ES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1459 Alexandria, Virginia 22313-1450

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/800,560	03/15/2004	William H. Brine III	SHI 64963

Lara A. Northrop Pietragallo, Bosick & Gordon One Oxford Centre, 38th Floor 301 Grant Street Pittsburgh, PA 15219

**CONFIRMATION NO. 9795** 

**FORMALITIES LETTER** 

\*OC000000012822301\*

Date Mailed: 06/02/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

## **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

• \$65 Late oath or declaration Surcharge.		10800560
Replies should be mailed to:	Mail Stop Missing Parts	323
	Commissioner for Patents	500859
	P.O. Box 1450	076 DA
	Alexandria VA 22313-1450	00000076 65.00 DA
		<u> </u>
		uasfa.
A cop	y of this notice <u>MUST</u> be returned with the reply.	51

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

AUG 0 2 2004 52

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE e Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/800,560 TRANSMITTAL Filing Date 03/15/2004 **FORM** First Named Inventor William H. Brine, III Art Unit (to be used for all correspondence after initial filing) 3712 **Examiner Name** N/A Attorney Docket Number SHI 64963 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication ~ Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request - Declaration (2) Request for Refund Express Abandonment Request - Power Of Attorney (2) - Copy Of Notice To File Missing Parts CD, Number of CD(s) Information Disclosure Statement Return Postcard Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Lara A. Northrop Pietragallo, Bosick & Gordon Individual name Signature Date 07/29/2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Lara A. Northrop Date 07/29/2004 Signature ara

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Approved for use through 0731/2006. Civils 0631-0632
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE,
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

F	EE	TR	AN	SN	11T	TAL
		for	FY	20	04	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 65.00

Complete if Known				
Application Number	10/800,560			
Filing Date	03/15/2004			
First Named Inventor	William H. Brine, III			
Examiner Name	N/A			
Art Unit	3712			
Attorney Docket No.	SHI 64963			

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
Check Credit card Money Other None		3. ADDITIONAL FEES						
✓ Deposit Account:				Small				
Deposit		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Account Number	500859	1051	130	2051		Surcharge - late filing fee or oath	65	
Deposit Account	Pietragallo	1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet		
Name	a sutherized to: /sheek all that apply)	1053	130	1053	130	Non-English specification		
	s authorized to: (check all that apply) (s) indicated below	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
	additional fee(s) or any underpayment of fee(s)	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
	s) indicated below, except for the filing fee	1805	1.840*	1805	1 840*	Requesting publication of SIR after		
	entified deposit account.	1000	1,010		1,040	Examiner action		
	FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FI	LING FEE	1252	420	2252	210	Extension for reply within second month		
Large Entity S	mall Entity	1253	950	2253	475	Extension for reply within third month		
	Fee Fee Fee Description Fee Paid Code (\$)	1254	1,480	2254	740	Extension for reply within fourth month		
	2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month		
1002 340	2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal		
1003 530	2003 265 Plant filing fee	1402	330	2402	165	Filing a brief in support of an appeal		
1004 770	2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing		
1005 160	2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 0			110	2452	55	Petition to revive - unavoidable		
2 EVIDA C	LAIM FEES FOR UTILITY AND REISSUE	1453	1,330	2453	665	Petition to revive - unintentional		
Z. EXTRA U	Fee from	1501	1,330	2501	665	Utility issue fee (or reissue)		
Total Claims	Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee		
Independent	-20** = X = -3** = X	1503	640	2503		Plant issue fee		
Claims Multiple Depen		1460	130	1460	130	Petitions to the Commissioner		
·		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Entity   Fee Fee	Small Entity Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$)	Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)		
1202 18	2202 9 Claims in excess of 20	1809	770	2809	385	Filing a submission after final rejection		
1201 86	2201 43 Independent claims in excess of 3					(37 CFR 1.129(a))		
1203 290	2203 145 Multiple dependent claim, if not paid	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))		
1204 86	2204 43 ** Reissue independent claims over original patent	1801	770	2801	385	Request for Continued Examination (RCE)		
1205 18	2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
,			fee (sp	ecify) _		· · · · · · · · · · · · · · · · · · ·		
SUBTOTAL (2) (\$) <sup>∪</sup> **or number previously paid, if greater; For Reissues, see above			ced by	Basic F	Filing F	ee Paid SUBTOTAL (3) (\$) 65.00		
	di namber proviously paid, ii greater, i di ricissues, see above							

<del></del>					
SUBMITTED BY (Complete (if applicable))					
Name (Print/Type)	Lara A. Northrop	Registration No. (Attorney/Agent)	55,502	Telephone	412-263-4362
Signature	Xara Intra-			Date	07/29/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

10/800,560

Confirmation No. 9795

**Applicant** 

: William H. Brine, III et al.

Filed

: 03/15/2004

Title

03/15/2004

ARTICULATED LACROSSE STICK

TC/A.U.

3712

Examiner

N/A

Docket No.

SHI 64963

Customer No.

29694

## LETTER IN RESPONSE TO NOTICE TO FILE MISSING PARTS

July 29, 2004

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313

Sir:

In response to the enclosed Notice to File Missing Parts of Nonprovisional Application -- Filing Date Granted, dated June 2, 2004, enclosed are executed Declaration for Utility or Design Patent Application Using An Application Data Sheet and Power of Attorney documents for the captioned case.

Please charge Deposit Account No. 500859 in the amount of \$65.00 for the surcharge. Please charge any underpayment or credit any overpayment to Deposit Account No. 500859.

Respectfully submitted,

Lara A. Northrop

Registration No. 55,502

Pietragallo, Bosick & Gordon One Oxford Centre, 38th Floor

301 Grant Street

Pittsburgh, PA 15219

Attorney for Applicant(s)

(412) 263-4362

746226v1